

| | | |
|---|--|---|
| TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 | | ATTORNEY'S DOCKET NUMBER 29827/40748 <i>CJL</i> |
| | | U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/520021 |
| INTERNATIONAL APPLICATION NO. PCT/EP2003/007105 | INTERNATIONAL FILING DATE 3 July 2003 | PRIORITY DATE CLAIMED 4 July 2002 |
| TITLE OF INVENTION METHOD FOR PRODUCING COATINGS STICKING DISCRETE PATTERNS ON A SUBSTRATE | | |
| APPLICANT(S) FOR DO/EO/US Oskar Stephan et al. | | |

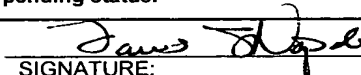
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)).
 - a. ☒ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A preliminary amendment.
14. ☒ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
18. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information: Return receipt postcard

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

| | | | | | |
|--|-----------------------------|---|------------------|--|----------|
| U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 10/520021 | | INTERNATIONAL APPLICATION NO. PCT/EP2003/007105 | | ATTORNEY'S DOCKET NUMBER 29827/40748 | |
| 21. The following fees are submitted: | | | | | |
| <input checked="" type="checkbox"/> | a) Basic national fee | \$300.00 | | \$ | 300.00 |
| <input checked="" type="checkbox"/> | b) Examination fee | \$200.00 | | \$ | 200.00 |
| <input checked="" type="checkbox"/> | c) Search fee | \$500.00 | | \$ | 500.00 |
| TOTAL OF ABOVE CALCULATIONS = | | | \$1000.00 | \$ | 1,000.00 |
| <input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. | | | | | |
| Total Sheets | Extra sheets | Number of each additional 50 or fraction thereof (round up to a whole number) | RATE | | |
| 16 - 100 = | /50 = | | x \$250.00 | | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492 (e)). | | | | \$ | |
| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | | |
| Total claims | 10 - 20 = | | x | \$ | |
| Independent claims | 1 - 3 = | | x | \$ | |
| MULTIPLE DEPENDENT CLAIM(s) (if applicable) | | | + | \$ | |
| TOTAL OF ABOVE CALCULATIONS = | | | | \$ | 1,000.00 |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2. | | | | \$ | |
| SUBTOTAL = | | | | \$ | 1,000.00 |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492 (f)). | | | | + | \$ |
| TOTAL NATIONAL FEE = | | | | \$ | 1,000.00 |
| Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property | | | | + | \$ |
| TOTAL FEES ENCLOSED = | | | | \$ | 1,000.00 |
| | | | | Amount to be refunded: | \$ |
| | | | | Amount to be charged: | \$ |
| a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed. b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>13-2855</u> in the amount of \$ <u>1,000.00</u> to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>13-2855</u> . A duplicate copy of this sheet is enclosed. d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. | | | | | |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the International Application to pending status. | | | | | |
| SEND ALL CORRESPONDENCE TO: | | | |  SIGNATURE: | |
| | | | | James J. Napoli | |
| | | | | NAME | |
| CUSTOMER NUMBER: 04743 | | | | 32,361 | |
| | | | | REGISTRATION NUMBER | |

Application No. (if known): Not Yet Assigned

Attorney Docket No.: 29827/40748

Certificate of Express Mailing Under 37 CFR 1.10

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail, Airbill No. EV 532461957 US in an envelope addressed to:

MS PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

on December 28, 2004
Date


Signature

Richard Zimmermann

Typed or printed name of person signing Certificate

Registration Number, if applicable

(312) 474-6300
Telephone Number

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

Transmittal Letter to the United States Designated-Elected Office (2 pages)
Application Data Sheet (4 pages)
Preliminary Amendment
Declaration, Power of Attorney and Petition
Copy of English translation of application
Return receipt postcard

28827/40748

29827

The Patent Office is hereby requested to acknowledge receipt

12/28/04

of the following papers by stamping and returning this card.
WITH SERIAL NO. AND FILING DATE

Stephan et al. "Method for Producing Coatings Sticking
Discrete Patterns on a Substrate"

Transmittal Letter to The United States Designated/Elected

Office Concerning a Filing Under 35 U.S.C. 371

Fee \$ 1000.00 Check No. (Charge Deposit Account)

Title Page, specification 13 pages,

Claims 3, pages, Abstract,

Drawings 2 pages. (Figs. 1 & 2)

Preliminary Amendment Accompanying New Application Transmittal

Executed Declaration

English Translation of International Application

w/Certificate of Mailing by USPS Express Mail

dated December 28, 2004 Label No. EV 532461957 US

DT03 Rec'd PCT/PTO 28 DEC 2004

10/520021